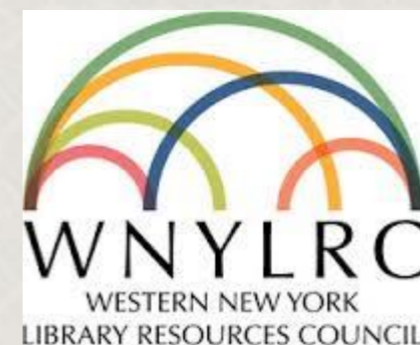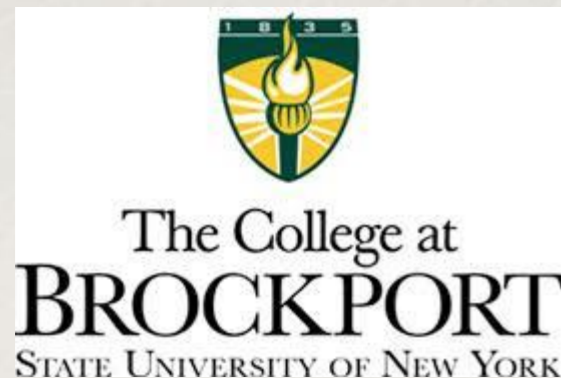# Empire Shared Collection

Preserving legacy print collections

Nicole Colello, University at Buffalo

Grants

- *Western New York Library Resources Council - RBDB Grants*

- *2012-13: Focused on planning -* *Develop an* *implementation strategy* *for the establishment of a* **collaborative print depository** *in Western New York.*

- *Working Group:*

Goals

- *Promote cost-effective **management and preservation** of low-use legacy print collections, while assuring **ready and perpetual access** to scholars and researchers in Western New York*

- *Develop a **sustainable model** capable of growth*

- *Allow for **space gains** for all member libraries*

Single Copy Repository

- *No duplication of titles allowed within the repository*

- *Considered the designated "last copy" for the members*

- *Starting with the University at Buffalo Libraries Annex; plans exist to transition to a distributed model as space and use dictate*

Grants (continued)

- *Western New York Library Resources Council - RBDB Grants*

- *2013-14: Phase 1 Implementation*

  *Test the merits of the implementation strategy and create a **collection management program** that enables member libraries to assess the uniqueness, overlap, and distribution of the participating libraries' aggregate collection.*

- *2014-15: Aggregate Serials Collection Analysis*

  The continuing success of the Program hinges upon having the resources to assess the aggregate collection.

Collection Analysis

Verify UB Annex serial holdings: complete runs, gaps

The Shared Collection

The UB Annex

- *Currently 1.2 million volumes in Annex*
  ~7,000 are Special Collection

- *Almost 30 linear miles of shelving*

Empire Shared Collection – current

- Serial records: ~31,000 serial titles in the Annex

  30,700+ titles have been analyzed for gaps

- New OCLC symbol (BUFSP):

  ~4,000 Empire Shared Collection holdings (started with "dead" publication status as test load)

  ~8,000 records ready to be added to new symbol (waiting for OCLC to give the go-ahead)

- *Remaining records will be loaded within the next couple of months*

OCLC Shared Print Management

- OCLC's Shared Print Program and their Print Archive Metadata Guidelines were created to support the shift from locally-owned print inventory to jointly managed print.

- All records under this program include Action Notes (583 fields) with 'Commitments of Retention' Statements.

- Some of the other OCLC Shared Print Program members include the Western Regional Storage Trust (WEST), the Committee on Institutional Cooperation (CIC), and the Maine Shared Collections program.

FirstSearch/WorldShare

Discovery

- Local catalogs: *load records of shared materials into local catalogs – ease of discovery, yet labor intensive to maintain records in local systems.*

- Discovery layers: *We feel the ideal option would be the ability to automate loading records into discovery layers of member libraries. This would make the discovery of materials easier for library patrons; less time spent maintaining records; and efficient processing of delivery requests.*

Delivery of Shared Materials

- Delivery of materials to end users will follow established Document Delivery Best-Practices:
  One business day for journal articles

- Direct Request via ISO protocols (circumventing OCLC)

Phase II - Monographs

- *The next phase is to begin planning for a **shared monograph** collection*

- *The Steering Committee will determine the scope of this collection (unique vs shared materials)*

The Empire Shared Collection Program

Who makes decisions?

Steering Committee consisting of one representative from each Participating Library

Charge:
Maintain policies, agreements, and procedures for the establishment and maintenance of the Collection

Memorandum of Understanding (MOU) will be signed by participating members and will be reviewed periodically

With the approval of all Participating Libraries, other institutions may participate if they agree to the terms of the MOU and the policies for the operation of the collection.

Who owns the material?

- All participating members own the materials

- Materials are here forever unless Steering Committee approves withdrawals.

- As we move to a distributed model, publically accessible shelving is acceptable storage space.

- Participating libraries that include currently received periodicals in the Empire Shared Collection are not under any obligation to continue to subscribe to those titles.

Cost of Membership

The planning group determined that the fairest and most scalable way to determine the cost of membership was to use the **total materials expenditures budgets** of all member libraries.

All budgets are added together to create an aggregate number.

Each library's *percentage of the aggregate* amount is the percentage each will pay toward the Operating Cost of the program.

Estimated Annex Operational Costs FY 2012/13 for Shared Collection

FY2012/2013 Shared Collection Repository Operational Costs

Personnel	Annual Cost
Annex Assistant (.20 FTE)	$9,224
Laborer (.5 FTE)	$15,750
Student Assistants	$9,000
Total Personnel	**$33,974**
Hardware/Software Annual Maintenance	
Purchase Satellite ILLiad Client	$1,800
GFA (Inventory Control System)	$17,200
DLSG Bookeye (BSCAN)	$2,387
DLSG Bookeye (KIC)	$2,387
Total Hardware/Software Annual Maintenance	**$23,774**
Supplies	
Paper, Toner, etc.	$1,000
Total Supplies	**$1,000**
Total Estimated FY 2012/2013 Costs	**$58,748**

Membership Cost

So, for example let's say for FY12/13:

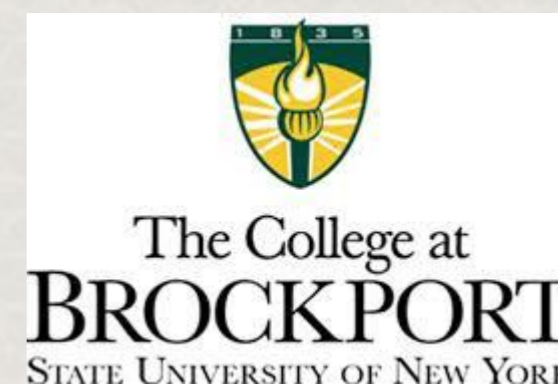- Operating Costs of Annex = $58,000

- UB's total materials expenditures budget = $8.5 million

- The aggregate total of all participating libraries total expenditures budgets = $17 million

- 8.5 million is 50% of 17 million

- UB would pay 50% of the Operating Costs, which would equal $29,000

This Business Plan is scalable: the more participants in the program, the smaller the cost to each institution!

Future Plans:

- Fine-tune Discovery/Delivery of Shared Collection

- Phase II: Shared Monographs

- Digitization support

- Print-on-demand services for member libraries

- Any other ideas...?

Current members

IDS Members – Collection Overlap

UB Annex –
Journal Records Shared

# of IDS Libraries	Count of Titles Shared	% of Total
1-10	16,130	78.61%
11-20	2,981	14.53%
21-30	911	4.44%
31-40	322	1.57%
41-50	126	0.61%
51-60	33	0.16%
61-70	15	0.07%
71-80	1	0.00%

Total records shared with at least one other IDS Institution: 20,519

UB Annex –
Print Books Records Shared

# of IDS Libraries	Count of Titles Shared	% of Total
1-10	200,409	80.43%
11-20	32,720	13.13%
21-30	11,472	4.60%
31-40	3,556	1.43%
41-50	872	0.35%
51-60	137	0.05%
61-70	2	0.00%

Total records shared with at least one other IDS Institution: 249,168

How to Join

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